Tissue Optical Clearing in the Ultraviolet for Clinical Use in Dentistry to Optimize the Treatment of Chronic Recurrent Aphthous Stomatitis

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Abstract. The immersion optical clearing (OC) treatment with a highly concentrated glycerol solution has induced three new tissue windows in the UV spectral range of gingival tissues – from 200 to 250 nm, from 250 to 300 nm and from 300 to 400 nm. By combining the immersion OC technique in human tissues with UV-spectroscopy, it was possible to verify and study the major OC mechanisms – tissue dehydration and refractive index matching, and that the OC efficiency is higher in the deep-UV than in the visible-NIR range. Since all biological tissues present high scattering in the UV range, the presented technology, which basically reduces the strong light scattering in the UV range, has a broad application area in medicine. The effectiveness of the developed technology combining UV phototherapy and OC in application to treatment of aphthous recurrent stomatitis in children was demonstrated. © 2020 Journal of Biomedical Photonics & Engineering.

Keywords: immersion optical clearing; UV spectrum; human gingiva; aphthous recurrent stomatitis.

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1 Introduction

When radiation interacts with biological tissues, such effects as absorption, reflection and scattering are observed that, to varying degrees, make a significant contribution to the diagnosis of diseases, the study of healthy and pathological biological tissues, the development of photothermal and light therapy procedures, etc [1]. Biophysical and photochemical studies have shown that UV radiation causes ionization of molecules and the destruction of covalent bonds in biological tissues; visible radiation – causes their electronic excitation; and infrared radiation is capable of changing oscillatory processes in biological molecules. It was found that short-wave UV radiation causes lethal mutations in microorganisms and has a bactericidal effect; medium-wave UV radiation induces the synthesis

of provitamin D and activates biogenic amines in the irradiated tissues; long-wave UV radiation induces the transport of melanin granules and inhibits the pathological proliferation of cells of pre-sensitized biological tissue [2].

Optical imaging of deep tissue layers is used to identify malignant neoplasms in the early stages by applying visible and NIR imaging systems, including optical coherence tomography (OCT), fluorescence, Raman, and multiphoton microscopies, fiber optic technologies for delivering radiation to pathological areas and back [3].

The main source of light scattering in biological tissues is the inhomogeneity of the refractive index due to the difference in its values for the components of biological tissues, i.e. mitochondria, nuclei, other organelles and cell cytoplasm; or for interstitial fluid and structural elements of connective (fibrous) tissue (collagen and elastin fibers) [4].

Intravital noninvasive high-resolution imaging of the structure of the tissues of the oral cavity using OCT in its various modes demonstrates excellent ability to detect and diagnose precancer, early cancer, dysplasia and malignancy of the mucous membrane epithelium [5–8]. The use of color spaces, known as CIELAB, allowed one to evaluate the color parameters of oral tissues in normal and pathological conditions [9–12].

To improve the penetration of radiation deep into biological tissues, a decrease in light scattering is required, which can be achieved by the optical clearing (OC) method [4, 13]. For immersion OC of biological tissues, both hyperosmotic solutions, such as glucose, sorbitol, glycerol, polyethylene glycol, propylene glycol, dimethyl sulfoxide, and solutions with normal osmolarity, such as X-ray contrast agent iohexol and iodixanol, are used. By using a hydrophilic optically transparent vinyl polysiloxane (VPS) impression material used in vivo for dental and gingiva impressions as an OC agent (OCA), the visibility of subsurface occlusal lesions and dentin-enamel compounds in the foci of demineralization was improved using reflectance spectroscopy [14]. The presence of pathological lesions was confirmed using polarized light microscopy and microradiography.

The strong scattering of biological tissues in the UV prevents the widespread use of UV technologies in medicine, in particular, in dentistry. Therefore, the aim of this study was to investigate the possibility of suppressing strong light scattering in the UV using suitable OCAs, transparent in the UV. Also, to prove the effectiveness of the developed OC technology in combination with UV phototherapy for treatment of aphthous recurrent stomatitis in children.

2 Methodology

2.1 Ex vivo study

For ex vivo study, pig alveolar gingival samples from the anterior part of the upper and lower jaws of freshly slaughtered farm pigs were excised just prior to measurements. The thickness of the samples was measured with a Micrometer MKTs-25-0.001 (China) placed between two glass slides; the measurement accuracy was $\pm 10 \ \mu\text{m}$. The measurements were taken at five points, and then the values were averaged. The thickness of the cut of the gingival mucosa is on average (0.59 ± 0.08) mm. To measure the total transmittance and diffuse reflectance of tissue samples in the spectral range of 200-800 nm, a Shimadzu UV-2550 double-beam spectrophotometer (Japan) with an integrating sphere was used. The radiation source was a halogen lamp with radiation filtering in the investigated spectral range. The limiting resolution of the spectrometer was 0.1 nm. The spectra were normalized before measurements using the BaSO₄ reference reflector, which has suitable UV properties [15]. All measurements were carried out at

room temperature (~25 °C) and normal atmospheric pressure. Each sample of the tissue under study was fixed in a special frame with a 0.5×0.5 cm² window in a quartz cuvette so that the tissue sample was pressed against the wall of the cuvette and subjected to optical measurement. To measure the total transmission spectra (TTS), a quartz cuvette with a tissue sample was placed directly in front of the integrating sphere, collecting all radiation that passed through the tissue sample. The diameter of the light beam incident on the sample was 3 mm.

Highly concentrated glycerol aqueous solution 87.5% (Russia) was used as an immersion agent. Glycerol $(C_3H_5(OH)_3)$ is a colorless liquid with extremely low absorption in the UV to visible and NIR (from 150 nm to 1400 nm [16]. It is a representative of trihydric alcohols with a molecular weight of M = 92.1 g/mol, viscous, hygroscopic, non-toxic and odorless liquid.

An important parameter for quantifying the achieved tissue transparency is the OC efficiency, which is defined as [4]:

$$Q(T) = \frac{T(t) - T(t=0)}{T(t=0)} \times 100\%,$$
(1)

where T(t = 0) is the tissue sample transmittance at the initial moment of time, T(t) is the transmittance at the moment *t*.

2.2 Clinical study in vivo

The study analyzed the results of treatment of 120 patients with chronic recurrent aphthous stomatitis (CRAS). In each group, 40 volunteers with an established diagnosis of CRAS were selected, 20 boys and 20 girls in each group: group I (main); group II (comparison); group III (control). Standard treatment included detection and elimination of irritants during a dental examination: sharp edges of teeth, thorough debridement of the oral cavity if necessary; with a sharp soreness of aft application with anesthetic agents based on lidocaine 1% (novocaine 1%) applied to a sterile bandage; removal of necrotic tissues, if any, using the proteolytic enzyme trypsin; antiseptic treatment with lugol on glycerol basis (aqueous solution of iodine (0.01 w/w), potassium iodide (0.02 w/w) glycerol (0.94 w/w) and water (0.03 w/w); epithelial therapy in the form of rosehip oil applications to a sterile bandage and desensitizing therapy.

Group I (main) received, in addition to standard treatment, the proposed method of phototherapy with the use of OC with highly concentrated glycerol solution. Once per day for 6–12 days, a phototherapy procedure was performed: an application of glycerol solution (a pharmaceutical preparation without dilution) was preliminarily done for 10 min using a sterile bandage on the oral cavity, covering the erosive and ulcerative lesions; then the mouth was rinsed with warm boiled water and the entire oral cavity was irradiated during 12min through a special tube with diameter 15 mm with a power density on the tube output of 2.0 W/m^2 using a commercially available device OUFv-02 (Russia) (230–400 nm).

Group II (comparison) received, in addition to standard treatment, a similar phototherapy as for Group I, but without preliminary OC with glycerol solution.

Group III (control) received standard treatment with lugol, for this: 4–6 times a day, lugol was applied to the affected areas oral cavity (with preliminary rinsing) until the aphthae disappeared.

The recommendations of the Ethical Committee were received from the Saratov State Medical University named after V.I. Razumovsky, protocol No. 8 dated 07.04.2020. All volunteers included in the study signed a voluntary consent to participate in the study.

3 Results and Discussion

3.1 Ex vivo study

From an optical point of view, the tissue of the gingival mucosa may be classified as irregular fibrous tissue, which is optically turbid. After immersion of gingival tissue samples in 87.5% glycerol solution, the total transmittance (2) increase (Fig. 1a, 1b) in relation to the original samples (1), which indicates a decrease of light scattering by gingival samples as a result of OC.



Fig. 1 TTS before (1) and after (2) diffusion of 87.5% glycerol solution for 100 min into a pig gingival sample, in the wavelength range: 200–800 nm (a); 200-400 nm (b).

In the regions 415-420 nm and 540-580 nm, dips observed on the TTS correspond to the absorption bands of oxyhemoglobin (415, 542 and 576 nm). The absorption of water in the measured range of 200-800 nm is negligible [17]. Glycerol is a highly effective agent for OC of biological tissues. Glycerol is a strong hygroscopic agent that first draws water out of a gingival specimen through osmosis. Then glycerol penetrates into the gingival sample, binds interstitial and intercellular water and increases the refractive index of interstitial space and cytoplasm, providing refractive index matching of scatterers (collagen and elastin fibers) and surrounding media [4]. In Fig. 2, photos of a pig gingival tissue sample before (Fig. 2a) and after (Fig. 2b) immersion in 87.5% glycerol solution and the diagram for OC efficiency at different wavelengths (Fig. 2c) calculated from experimental data using formula (1) are shown.



Fig. 2 Photos of a porcine alveolar gingival sample before (sample size $20.0 \times 6.0 \text{ mm}^2$) (a) and after (sample size $19.6 \times 5.7 \text{ mm}^2$) (b) immersion in 87.5% glycerol solution for 100 min; OC efficiency averaged for gingival samples after 100 min immersion in 87.5% glycerol solution calculated from experimental data using Eq. (1).

It follows that the greatest efficiency is achieved at a wavelength of 210–230 nm for 100 min of glycerol action and amounts to 3500%. Thus, the efficiency of OC is higher, the lower the initial intensity before OC. On average, the efficiency changes in accordance with the

behavior of the transmission spectrum (Fig. 2c). In the visible and NIR, within the so-called 'transparent window' [1], the Q is significantly lower, around 100-200%; however, due to the absence of strong absorption bands of endogenous chromophores in this spectral region, the absolute transmission is quite large and reaches 60%.

The applied OC treatments with highly concentrated glycerol have induced two tissue windows in the UV range in colorectal tissues – from 200 to 260 nm and from 260 to 418 nm, it was used to identify pathological tissue [18].

Three dynamic windows were identified in the gingiva mucosa (from 200 to 250 nm, from 250 to 300 nm and from 300 to 400 nm), it we used to increase the effectiveness of the treatment of chronic recurrent aphthous stomatitis (CRAS) in children.

3.2 Clinical study

The development of phototherapy may be of particular importance in case of such a common dental disease as CRAS, characterized by a relapsing course with inflammatory and destructive lesions of the oral mucosa. CRAS is a fairly common disease in dental practice. It is characterized by a long course, a tendency to relapse and resistance to various types of therapy [19]. Despite the fact that the nature of the occurrence of this dental disease is still not entirely clear, relapses of CRAS are often associated with some provoking factors, including an infectious nature. There is a neurogenic, immune, infectious-allergic theory of the origin of CRAS, the importance of gastrointestinal pathology, endocrine abnormalities, as well as immunological reactivity and resistance of the body [20].



Fig. 3 Three examples of stomatitis phototherapy (photo real size): before treatment (a), (d), and (g); OC of the oral mucosa with 87.5% glycerol solution and subsequent irradiation with UV (b), (e), and (h); and final treatment results (c), (f), and (i).

In the initial state, the examined patients showed various subjective and objective clinical manifestations of CRAS. The predominant complaint was pain in the area of the affected areas of the mucous membrane, which was aggravated by eating, talking and reducing the quality of life. Quite often, patients were disturbed by a burning sensation in the mouth (84.5%), increased salivation (76.3%), which forced patients to disrupt their diet, which caused an exacerbation of concomitant chronic diseases.

After applying the full course of phototherapy with the use of the OC with glycerol, relief of the main clinical manifestations of inflammation: complete absence of burning and increased salivation, was clearly observed in 93.6% of patients. In the comparison and control groups, similar dynamics was observed in 81.1% and 64.5%, respectively. Dental examination objectively confirmed the complete regression of clinical symptoms in the vast majority of patients in the main group, which is significantly more significant than in the comparison group and, especially, in the control group. Improvement of the subjective state of the observed patients is also associated with the relief of the main signs of local inflammation of the mucous membrane, objectively detected during a dental examination and assessment of the peripheral blood picture.

A pronounced therapeutic efficiency of the developed method (93.7%) was revealed in comparison with only UV irradiation (81.2%), as well as with standard medicinal dental treatment (65.9%).

of optical clearing occurs in the UV region with the formation of three transparency optical windows: I – from 200 to 250 nm, II – from 250 to 300 nm and III – from 300 to 400 nm. It is known that UV radiation has great biological activity, antibacterial and immune-stimulating effects. For the first time, we clinically applied optical clearing with 87.5% glycerol solution to increase the penetration depth of UV radiation to treat more efficiently chronic recurrent aphthous stomatitis (CRAS) in children. The conducted observations have shown the effectiveness of this method, its simplicity, and availability, which allow us to recommend it for a widespread use in dentistry in the complex treatment of CRAS and for the prevention of CRAS in patients at risk.

Disclosures

All authors declare that there is no conflict of interests in this paper.

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Conclusions

At immersion of mucous membrane of the gingiva in glycerol solution, it was found that the highest efficiency

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